





August 24, 2005

Mr. Abhijit Bagde State of California Department of Transportation, MS 82 Division of Transportation Programming Office of Federal Transportation Management Program 1120 N Street, Room 4400 (95814) P.O. Box 942874 Sacramento, CA 94274-0001

SUBJECT: SBCAG 2004 FTIP Amendment # 13

Dear Mr. Bagde:

This letter contains the staff report, signed resolution, and CTIPS printouts for SBCAG 2004 FTIP Amendment # 13, which was approved by the SBCAG Board on August 18, 2005. The 2004 FTIP remains financially constrained and no change is required in the financial plan for the FTIP.

In addition to email copies, you will be receiving the hard copies within the next few days. If you have any questions regarding this amendment, please contact me at (805) 961-8912 or by email at khodin@sbcaq.org.

On a personal note, Abhijit, Rachel told us you were recently in India, where you participated in your brother's wedding. Congratulations and best wishes to you and your families.

Yours truly,

Kanu C Hoder Karin L. Hodin, AICP Transportation Planner

Enclosures

Cc:

Grace Balmir – FHWA

Donna Turchie - FTA

Nancy Wickersham - Caltrans, District 5 FTIP Coordinator Darleen Panico - Caltrans, District 5 Local Assistance

File No. TP 2-3-11

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- 260 North San Antonio Road., Suite B = Santa Barbara, CA = 93110
- Phone: 805/961-8900 = Fax: 805/961-8901 = www.sbcag.org

STAFF REPORT

SUBJECT:

2004 Federal Transportation Improvement Program

MEETING DATE:

August 18, 2005

AGENDA ITEM:

7D

STAFF CONTACT:

Karin Hodin

RECOMMENDATION:

Approve FTIP Amendment #13 to program FTA 5311 local match funds for FY 2005/06 for the cities of Guadalupe and Solvang, Local Surface Transportation Program funds for the cities of Santa Maria and Santa Barbara, and federal emergency relief funds to the County of Santa Barbara's Jalama Road project.

SUMMARY

Projects funded using federal transportation funds must be programmed in a Federal Transportation Improvement Program (FTIP) adopted and periodically amended by SBCAG. FTIP Amendment No. 13 revises the current FTIP to include federal funding for projects in Guadalupe, Solvang, Santa Maria, Santa Barbara and the County as described below. The changes are considered administrative

DISCUSSION:

As the federally designated Metropolitan Planning Organization for Santa Barbara County, SBCAG is responsible for preparing and adopting the Federal Transportation Improvement Program (FTIP). The FTIP identifies all federally funded highway, transit and other surface transportation projects in the county (and federal funding sources) that are scheduled for implementation in the next three years. The FTIP serves as the sole reference document that the federal government and the public can review to understand where, when and how federal transportation funds are being spent in Santa Barbara County, including those that SBCAG does and does not have programming discretion over.

The FTIP requires periodic amending following its biennial adoption to reflect program changes such as project additions, deletions, scope revisions and securing of added federal funds by SBCAG and other county agencies. The federal government requires that significant changes to the cost, scope or schedule of a project be approved through a formal amendment to the FTIP voted on by the SBCAG Board. Less significant changes may be approved through an administrative amendment signed off by the executive director.

Local Match Funds for Guadalupe and Solvang Non-Urbanized Area Transit FTA § 5311

Federal Transit Act Section 5311 funds are made available for non-urbanized area transit projects, and are apportioned to each region on the basis of its non-urban population. The apportionment is divided between Santa Barbara County's two non-urbanized transit providers, the City of Guadalupe (Guadalupe Flyer) and the City of Solvang (Santa Ynez Valley Transit), based on the respective population each serves.

On June 16, 2005, the Board adopted 2004 FTIP Amendment # 10 approving Section 5311 programming for non-urbanized area transit projects in FY 2005/06. The Section 5311 program requires a minimum 50% local project match, and Guadalupe has recently requested that \$164,000 of its local funds be programmed as a Section 5311 match, while Solvang would like to program \$298,000 for its local § 5311 share. Because each of these amounts substantially exceeds the 50% match requirement, a formal FTIP amendment is being processed. These funding additions are shown in detail in items 1 and 2 on Attachment A accompanying this staff report.

Program LSTP Funds to the Cities of Santa Maria and Santa Barbara

Earlier this year, as a way of putting as much unclaimed and unprogrammed funding in the hands of local agencies as possible during a time of financial hardship, SBCAG staff completed its reconciliation of the Surface Transportation Program (STP). The invoicing status of every Regional STP (RSTP) and Local STP (LSTP) project programmed since 1992 was reviewed and the amount of STP funds exchanged by SBCAG was verified and checked against programming liabilities. RSTP programming recommendations approved by the Board at its May 2005 meeting was one of the successful outcomes of this reconciliation effort.

Another outcome was a determination by SBCAG staff that in fiscal years 1997/98 and 1998/99, SBCAG did receive STP exchange funds from the State. The LSTP funds are programmed on a formula basis each year for projects completed by the local agencies. All agencies invoiced for and received their LSTP funding shares for FY 97/98 and 98/99, except for the cities of Santa Barbara and Santa Maria. SBCAG staff recommends programming LSTP in the amount that would have been paid according to the formula distribution to those cities in those two years, estimated to be \$514,000 for Santa Maria and \$697,000 for Santa Barbara. Programming the funds in the FTIP is required for the agencies to be able to claim the funds.

The City of Santa Barbara has requested that its funds be designated for sidewalk, bicycle and other improvement projects. Part of this funding will be applied to shortfalls on the city's three STIP/TE projects (Carrillo Pedestrian Walkway, Loma Alta Hill Sidewalks, and Mission St Bikeway project), as well as to other projects (sidewalk, bicycle, and/or road rehab) within the city limits. Attachment A's items 3 and 4 (accompanying this staff report) identify these cities' programming of the LSTP funds.

Program Jalama Road Permanent Restoration Work

The 1995 El Nino storm events caused a Jalama Road embankment to slip adjacent to a creek, undercutting and jeopardizing the stability of the roadway. Permanent restoration work will include a minor roadway realignment, grading, miscellaneous drainage work and erosion control over an extent of about four acres. The affected section of roadway measures about 350 feet

long by 34 feet wide, and the work is programmed through fiscal year 2006/07. \$30,000 in Federal Emergency Relief/Road Rehabilitation And Reconstruction funds were authorized for preliminary engineering on this project in 1995, followed by an added \$130,000 in January 2005, to complete environmental and permitting work which is now underway. The other major focus this fiscal year will be negotiating and acquiring the right-of-way area for a slight realignment, to reduce the future likelihood of similar slippage. Construction engineering and construction are scheduled for FY 2006/07. The Federal and county funding shares and scheduling are shown on item 5 of Attachment A accompanying this report.

RECOMMENDATION:

SBCAG staff recommends that the Board approve FTIP Amendment #13 to:

- 1. Program \$164,000 in local match funds for Guadalupe and \$298,000 in local match funds for Solvang for their respective Federal Transit Administration (FTA) Non-Urbanized Area Section 5311 Funds fiscal year 2005/06.
- 2. Program Local Surface Transportation Funds for the cities of Santa Maria and Santa Barbara in the amounts of \$514,000 and \$697,000, respectively, to enable each to invoice past unclaimed funds this fiscal year 2005/06.
- 3. Program \$647,000 in Federal Emergency Relief Road Rehabilitation/Reconstruction Funds and \$83,000 in Local Funds for Jalama Road Storm Damage Permanent Restoration Work east of Bridge # 51C-016, for Santa Barbara County.

COMMITTEE REVIEW: In May and June SBCAG staff and TTAC discussed staff's recommendation to program LSTP funds that the cities of Santa Maria and Santa Barbara had never claimed in FYs 97/98 and 98/99. No concerns were expressed by TTAC.

ATTACHMENT: Resolution

RESOLUTION OF THE SANTA BARBARA COUNTY ASSOCIATION OF GOVERNMENTS

APPROVING THE 2004 FEDERAL)
TRANSPORTATION IMPROVEMENT)
PROGRAM (AMENDMENT 13))

RESOLUTION NO. 05-32

WHEREAS, Title 23 Code of Federal Regulations, part 450, and Title 49 Code of Federal Regulations, part 613, require the preparation and updating of a Federal Transportation Improvement Program (FTIP) by the Metropolitan Planning Organization; and

WHEREAS, the Santa Barbara County Association of Governments (SBCAG) has been designated as the Metropolitan Planning Organization for Santa Barbara County; and

WHEREAS, SBCAG, through the conduct of a continuing, comprehensive, and coordinated transportation planning process with applicable federal requirements, has prepared a 2004 FTIP; and

WHEREAS, the 2004 FTIP has been prepared in cooperation with state and local government agencies including Caltrans, local transit operators; and the Air Pollution Control District; and

WHEREAS, the 2004 FTIP must be consistent with the Regional Transportation Plan adopted pursuant to Government Code Section 6508; and

WHEREAS, the 2004 FTIP projects have been developed from the 2001 RTP and 2003 Congestion Management Program (CMP); and

WHEREAS, the 2004 FTIP includes only air quality conformity exempt and non-exempt projects; and

WHEREAS, draft copies of the 2004 FTIP and Air Quality Conformity Status were made available and members of the public were given a reasonable opportunity to review the draft

2004 FTIP and a public hearing was held to present the recommended 2004 FTIP and take testimony from the public; and

WHEREAS, all projects proposed for federal funding or approval under Title 23

Code of Federal Regulations and the Federal Transit Act must be included in a transportation improvement program; and

WHEREAS, the 2004 FTIP must conform with the applicable State Implementation Plan (SIP) as required by the federal Clean Air Act (42 U.S.C. Section 7401 et seq.); and

WHEREAS, the 2004 FTIP is financially constrained and funds needed to complete the 2004 FTIP are reasonably expected to be available.

NOW, THEREFORE, BE IT RESOLVED that the SBCAG Board of Directors amends the 2004 FTIP to include the changes identified in Attachment A.

BE IT FURTHER RESOLVED that the SBCAG Board of Directors finds that the 2004 FTIP is consistent with, and one and the same as, the 2004 MTP.

BE IT FURTHER RESOLVED that the SBCAG Board of Directors finds that development of the 2004 FTIP, is made in accordance with public involvement procedures originally adopted by SBCAG on November 10, 1994 and updated on March 24, 2002; and

BE IT FURTHER RESOLVED that the adoption of the 2004 FTIP constitutes the Intergovernmental Review Procedures for those projects listed in the Biennial Element. The 2004 FTIP approval does not constitute project level design or environmental approval which is conducted separately according to state and federal regulations.

BE IT FURTHER RESOLVED that the inclusion of any federally-funded projects in the 2004 FTIP, including all amendments, constitutes the federal project selection procedures for Santa Barbara County, and any projects programmed in the FTIP may proceed to implementation without further project selection action by SBCAG.

Resolution No. 05-32, Page 3

BE IT FURTHER RESOLVED that SBCAG's approval of the 2004 FTIP including all

amendments also constitutes the federal transit "designated recipient" approval of all

grant applications filed by the transit operators that are consistent with the FTIP project

programming.

BE IT FURTHER RESOLVED that minor modifications as specified in the 2004

FTIP will be handled as administrative amendments that may be approved at the

discretion of the SBCAG Executive Director and that all other amendments require

formal approval of the SBCAG Board of Directors.

BE IT FURTHER RESOLVED that SBCAG has executed a Comprehensive

Transportation Planning Memorandum of Understanding (MOU) with Caltrans excluding

rehabilitation and safety projects from the FTIP as provided in said MOU.

BE IT FURTHER RESOLVED that the 2004 FTIP is the applicable FTIP for

Santa Barbara County and supersedes all preceding FTIPs and their amendments.

BE IT FURTHER RESOLVED that the SBCAG Board of Directors certifies that

the urban transportation planning process is being carried on with applicable federal

requirements as described in the "Statewide Planning; Metropolitan Planning; Rule"

dated October 28, 1993.

PASSED AND ADOPTED this 18th day of August 2005 by the following vote:

AYES:

Supervisors Carbajal, Centeno, Gray, Rose; Mayors DeWees,

Alvarez; Councilmembers Baca, Jordan, Mariscal, Secord, Wallis.

None. NOES:

ABSENT Supervisor Firestone; Mayor Hicks.

ABSTAIN: None.

ATTEST:

Jim Kemp Executive Director

Santa Barbara County Association of Governments

Dick DeWees

Chair

Santa Barbara County Association of Governments

APPROVED AS TO FORM:

Kevin E. Ready, Sr. Deputy County Counsel

2004 FTIP Amendment # 13 - Attachment A

(\$1,000s)

Changes in strikethrough and bold type

Board Action Date: 8/18/05

Guadalupe Local Match Funds for FTA § 5311 Operating Assistance

1. MPO ID # GUAD4

Project Description -

City of Guadalupe - Local Match Funds for FTA § 5311 Transit Operating Assistance

Agency	Project	- Phase	Prior	2004:05	20	05-06	2006=07	Source of	And the second second second	Total Pro	ject Cost
Guadalupe	FTA § 5311 Non-	PE RW	-		-	-					
Guadalupe	Urbanized Areas	CON		15	16	180		31	164	31	195
		Total		15	16	180		31	164	31	195

^{*} Beginning with FY 05/06, local matching funds to FTA § 5311 Transit Operating Assistance projects must be included in the FTIP.

Solvang Local Match Funds for FTA § 5311 Operating Assistance

2. MPO ID # SOLV2

Project Description -

City of Solvang - Local Match for FTA § 5311 Transit Operating Assistance

Agency	Project	Phase	Prior	2004-05	200)5 - 06 *	2006-07	Source of	f/Funds	Total Pro	ject Cost
Solvang	FTA § 5311 Non-	PE RW									
Colvaing	Urbanized Areas	CON	102	56	59	357		217	298	2 17	515
		Total	102	56	59	357		217	298	217	515

^{*} Beginning with FY 05/06, local matching funds to FTA § 5311 Transit Operating Assistance projects must be included in the FTIP.

Santa Maria - STP Reconciliation FY1997/98 -98/99

3. MPO ID # SM021

Project Description - Various Roads

City of Santa Maria - STP Reconciliation FY1997-99

Agency	Project	Phase	Prior	2004-05	2005-06	2006-07	Source of Funds.	Total Project Cost
		PE						
Santa Maria	STP Reconciliation	RW						
	FY 97/99	CON			514		514	514
		Total			514		514	514

Santa Barbara - Design/Construction of Sidewalk, Bicycle & Other Projects

4. MPO ID # SBCITY13

Project Description -

Construct Carrillo Pedestrian Walkway, Loma Alta Hill Sidewalks & Mission St Bikeway project, and other sidewalk, bicycle, and/or road rehab projects in city limits.

Agency	Project	Phase	Prior 20	004-05	2006-07	Source of Funds	Total Project Gost
Santa	STP Reconciliation	PE RW			·		
Barbara	FY 97/99,98/99	CON		697		697	697
		Total		697		697	697

Jalama Road Permanent Restoration Work

5. MPO ID # COUNTY16

Project Description -

Jalama Road 1995 Storm Damage Permanent Restoration Work @ MP 7.7, east of Bridge # 51C-016

Agency	Project:	Phase	Rrior	2004-05	2005-061	190214	Source of Fed Emerg Relief - Road Rehab/Recust (88/53%)	ional	iTotal Projecti Cost
		PE	30	130			142	18	160
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Santa Barbara County Association of Governments - Federal Transportation Improvement Program

(Dollars in Thousands)

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Santa Barbara County Association of Governments - Federal Transportation Improvement Program

(Dollars in Thousands)

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Comments:

Version 4 - 8/5/05 ***

Program local matching funds for 5311- KLH

Version 3 - 07/01/2005 ***

Version 1 - 03/28/2002 ***

Version

Santa Barbara County Association of Governments - Federal Transportation Improvement Program

(Dollars in Thousands) Local Highway System

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Comments: Version 1 - 08/22/2005 LSTP 1997-99 Reconcillation Funds - KLH

Santa Barbara County Association of Governments - Federal Transportation Improvement Program

(Dollars in Thousands) Local Highway System

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Comments: Version 1 - 08/22/2005 LSTP Fund reconciliation for Citry 1997-1999 - KLH

Santa Barbara County Association of Governments - Federal Transportation Improvement Program

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